



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John C. COX *et al.*

Title: IMMUNOGENIC COMPLEXES AND METHODS  
RELATING THERETO

Appl. No.: 09/506,011

Filing Date: 2/17/2000

Examiner: Unassigned

Art Unit: 1643

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#10 Conversion Papers (new)  
3/25/01  
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MAR 15 2001

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

A Petition is hereby filed to add the following inventor who was erroneously not included as inventor when the application was filed:

Andreas SUHRBIER.

The error in inventorship occurred without deceptive intention. Included with this Petition are the following:

- (1) A *copy* of an Assignment to the Assignee of record in this application executed by the inventor named herein.
- (2) A Consent of Assignee of record to the addition of the newly-named inventor.
- (3) A Certificate under 37 CFR § 3.73(b).

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002.402406.1

- (4) An Oath or Declaration signed by all of the named inventors as required by 37 CFR § 1.63.
- (5) A Statement signed by the newly-named inventor stating that the error in inventorship occurred without deceptive intention on his part.

This Petition is accompanied by the \$130.00 petition fee required by 37 CFR § 1.17(i)

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741.

Respectfully submitted,

March 13, 2001  
Date

*Barbara A. McDowell Reg #31,640*  
*for* Stephen A. Bent  
Registration No. 29,768

FOLEY & LARDER  
3000 K Street, N.W., Suite 500  
P.O. Box 25696  
Washington, D.C. 20007-8696  
(202) 672-5300



U.S. Rights—Sole or Joint

## WORLD WIDE ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

**CSL LIMITED  
45 Poplar Road  
Parkville, Victoria 3052, AUSTRALIA**

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(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

### **IMMUNOGENIC COMPLEXES AND METHODS RELATING THERETO**

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as set forth in this United States Patent Application

MAR 16 2001

*check one*      executed concurrently herewith  
executed on \_\_\_\_\_  
Serial No. 09/506,011

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Filed Feburary 17, 2000 \_\_\_\_\_

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

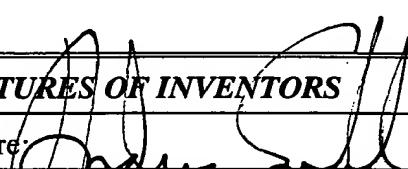
Each of the undersigned hereby authorizes and request the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him

relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

<b>NAMES AND SIGNATURES OF INVENTORS</b>		
Name: Andreas SUHRBIER	Signature: 	Date: 9/1/01
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:
<b>NAMES AND SIGNATURES OF WITNESSES</b>		
Name:	Signature:	Date:
Name:	Signature:	Date:

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

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**CONSENT OF ASSIGNEE FOR CORRECTION OF INVENTORSHIP**

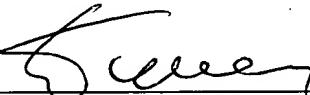
Commissioner of Patents  
Washington, D.C. 20231

Sir:

CSL Limited, hereby consents to the correction of inventorship adding Andreas SUHRBIER as an inventor of the invention claimed in the above identified patent application.

CSL Limited

Date: 25/11/00

Signature:   
Name: PETER TURVEY  
Title: COMPANY SECRETARY  
Title: CSL LIMITED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John C. COX *et al.*  
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Commissioner of Patents  
Washington, D.C. 20231

Sir:

CSL Limited certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee, and has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

CSL Limited

Date: 25/11/00

Signature:   
Name: PETER TURVEY  
Title: COMPANY SECRETARY  
CSL LIMITED



Atty. Dkt. No. 017227/0155

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As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

IMMUNOGENIC COMPLEXES AND METHODS RELATING THERETO

(Attorney Docket No. 017227/0155)

the specification of which (check one)

is attached hereto.

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XX was filed on February 17, 2000 as United States Application Number or PCT International Application Number 09/506,011 and was amended on February 17, 2000 (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
PP8735/99	Australia	17 February 1999	Yes	
PQ1861/99	Australia	27 July 1999	Yes	

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date

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I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY & LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

STEPHEN A. BENT	Reg. No. 29,768
DAVID A. BLUMENTHAL	Reg. No. 26,257
BETH A. BURROUS	Reg. No. 35,087
ALAN I. CANTOR	Reg. No. 28,163
WILLIAM T. ELLIS	Reg. No. 26,874
JOHN J. FELDHAUS	Reg. No. 28,822
PATRICIA D. GRANADOS	Reg. No. 33,683
JOHN P. ISACSON	Reg. No. 33,715
MICHAEL D. KAMINSKI	Reg. No. 32,904
LYLE K. KIMMS	Reg. No. 34,079
KENNETH E. KROSIN	Reg. No. 25,735
JOHNNY A. KUMAR	Reg. No. 34,649
GLENN LAW	Reg. No. 34,371
PETER G. MACK	Reg. No. 26,001
BRIAN J. MC NAMARA	Reg. No. 32,789
SYBIL MELOY	Reg. No. 22,749
RICHARD C. PEET	Reg. No. 35,792
GEORGE E. QUILLIN	Reg. No. 32,792
COLIN G. SANDERCOCK	Reg. No. 31,298

BERNHARD D. SAXE	Reg. No. 28,665
CHARLES F. SCHILL	Reg. No. 27,590
RICHARD L. SCHWAAB	Reg. No. 25,479
ARTHUR SCHWARTZ	Reg. No. 22,115
HAROLD C. WEGNER	Reg. No. 25,258

and I request that all correspondence be directed to:

Stephen A. Bent  
**FOLEY & LARDNER**  
 Washington Harbour  
 3000 K Street, N.W., Suite 500  
 Washington, D.C. 20007-5109

Telephone: (202) 672-5404  
 Facsimile: (202) 672-5399

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

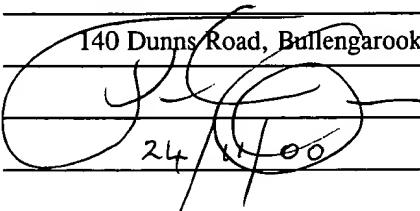
I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor John Cooper COX

Residence Victoria, Australia

Citizenship Australian

Post Office Address 140 Dunns Road, Bullengarook, Victoria, Australia 3437

Inventor's signature 

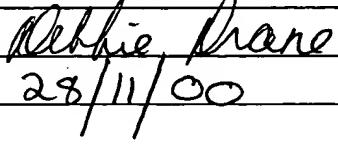
Date 24/11/00

Name of second inventor Debbie Pauline DRANE

Residence Victoria, Australia

Citizenship Australian

Post Office Address 72 Mulcahy Road, Bullengarook, Victoria, Australia 3437

Inventor's signature 

Date 28/11/00

Name of third inventor

Andreas SUHRBIER

Residence

Queensland, Australia

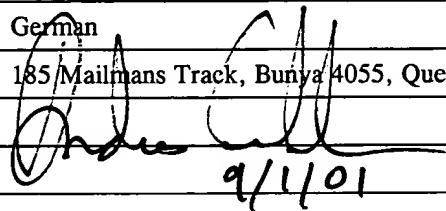
Citizenship

German

Post Office Address

185 Mailmans Track, Bunya 4055, Queensland, Australia

Inventor's signature

  
9/11/01

Date

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**STATEMENT UNDER 37 CFR § 1.48(a)(i)**

Commissioner of Patents  
Washington, D.C. 20231

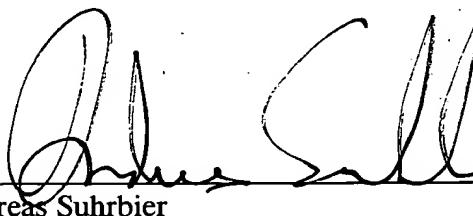
Sir:

I, Andreas Suhrbier, declare as follows:

I am an inventor of U.S. Patent application serial No. 09/506,011, filed February 17, 2000 ("the application"). I was erroneously not included as inventor when the application was filed. The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this patent.

9/11/01  
Date

  
Andreas Suhrbier